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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: **Stephen D. O'Connor, et al.**

Serial No.: **09/931,585**

Filed: August 15, 2001

For: **OPTICAL DEVICES WITH FLUIDIC SYSTEMS**

) Group Art Unit: 2874

) Examiner: WOOD, Kevin S.

) Attorney Docket: 266/263

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TRANSMITTAL OF AMENDMENT AND SUPPLEMENTAL IDS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I. **DOCUMENTS ENCLOSED**

- (1) Amendment And Response To Official Action Mailed April 3, 2003.
- (2) Supplemental Information Disclosure Statement With Completed Form PTO-1449.
- (3) Copies of Cited References.
- (4) Copy of "Proposed Drawing Amendment For Approval by Examiner Pursuant to 37 CFR § 1.121" as filed on December 5, 2001.
- (5) Return Postcard.

II. **REQUEST FOR EXTENSION OF TIME FOR AMENDMENT**

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply. Applicants hereby petition for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

	EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/>	one month	\$55.00	\$110.00
<input checked="" type="checkbox"/>	two months	\$200.00	\$400.00
<input type="checkbox"/>	three months	\$460.00	\$920.00

CERTIFICATE OF MAILING
(37 C.F.R. § 1.8)

I hereby certify that this paper (along with any referred to as attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient First Class postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 3, 2003
Date of Deposit

Roger Gendron
Name of Person Mailing Paper

Roger Gendron
Signature of Person Mailing Paper

III. FEE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the enclosed Information Disclosure Statement (and accompanying form PTO-1449 and cited references) pursuant to 37 CFR § 1.97(c). The Commissioner is hereby authorized to charge the associated fee of \$180.00 pursuant to 37 CFR § 1.17(p) to Nanostream's Deposit Account No. 502454.

IV (NO) EXCESS CLAIM FEES

Applicants previously paid claim fees sufficient to cover (7) independent claims and (41) total claims as originally filed. Since the amendment enclosed herewith has not increased the number of pending independent or total claims, it is believed that no excess claim fees are due at this time. However, should Applicants be incorrect in this regard, the Commissioner is authorized to charge any excess claim fees to Nanostream's Deposit Account No. 502454.

V. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of _____ is enclosed to cover the above fee(s).
- ☒ Charge Nanostream's Deposit Account No. 502454 in the amount of \$380.00.
- ☒ The Commissioner is authorized to credit any overpayment or debit any underpayment in fees to Nanostream's Deposit Account No. 502454 as necessary pursuant to 37 CFR §§ 1.16, 1.17, and 1.445.

Respectfully submitted,

Dated: September 3, 2003

By: Vincent K. Gustafson
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USPTO Customer No.: 32763